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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
11/091,319	03/28/2005	1642	1750	0100.458US	37	19	3

CONFIRMATION NO. 3283

## FILING RECEIPT



\*OC000000016043865\*


 30560  
 MAXYGEN, INC.  
 INTELLECTUAL PROPERTY DEPARTMENT  
 515 GALVESTON DRIVE  
 RED WOOD CITY, CA 94063

Date Mailed: 05/17/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Willem P.C. Stemmer, Los Gatos, CA;

~~Andreas Cramer, Mountain View, CA;~~


## Assignment For Published Patent Application

Maxygen, Inc., Redwood City, CA

**COPY**

## Power of Attorney:

Robert Huntington-27903

Sharon Crane-36113

## Domestic Priority data as claimed by applicant

This application is a CON of 10/246,229 09/17/2002  
 which is a CON of 09/717,391 11/18/2000 PAT 6,506,603  
 which is a CON of 09/239,395 01/28/1999  
 which is a CON of 08/621,859 03/25/1996 PAT 6,117,679  
 which is a CIP of 08/564,955 11/30/1995 PAT 5,811,238  
 which is a CIP of PCT/US95/02126 02/17/1995  
 which is a CIP of 08/198,431 02/17/1994 PAT 5,605,793

## Foreign Applications

DOCKETED on/by 560/s/cam  
 Atty. map PA  
 File # 0100 JS 8  
 Due Date 6/28/05 Ext  
 Final Date IDS

If Required, Foreign Filing License Granted: 05/17/2005

The country code and number of your priority application, to be used for filing abroad under the Paris

Convention, is **US11/091,319**

Projected Publication Date: 08/25/2005

Non-Publication Request: No

Early Publication Request: No

**Title**

Methods for generating polynucleotides having desired characteristics by iterative selection and recombination

**Preliminary Class**

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

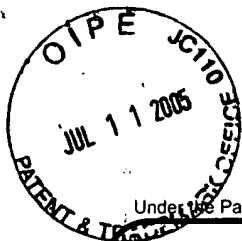
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1FW

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	11/091,319
Filing Date	March 28, 2005
First Named Inventor	Willem P.C. Stemmer
Group Art Unit	1642
Examiner Name	Unassigned
Attorney Docket Number	0100.458US

Total Number of Pages in This Submission

8

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Sequence Listing \_\_\_\_ pages
- ☐ Diskette copy of Sequence Listing

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):  
Request for Corrected Filing Receipt;  
Copy of Filing Receipt; Copy of Application Data Sheet; and Return Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Margaret A. Powers, Reg. No. 39,804

Signature

Date

July 7, 2005

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on: July 7, 2005

Typed or printed name

Ann Massey

Signature

Date

July 7, 2005

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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on July 7, 2005  
By Ann Massey  
Ann Massey

Attorney Docket No. 0100.458US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Willem P.C. Stemmer

Application No.: 11/091,319

Filed: March 28, 2005

For: Methods for Generating  
Polynucleotides Having Desired  
Characteristics by Iterative Selection  
and Recombination

Examiner: Unassigned

Art Unit: 1642

**REQUEST FOR CORRECTED FILING  
RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

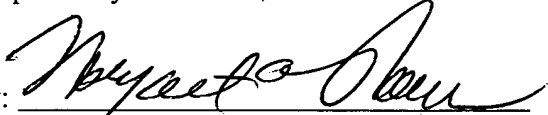
Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

There is an error under the "Applicant(s)" information on the Official Filing Receipt. Specifically, **Anderas Crameri, Mountain View, CA** should be deleted under the heading of "Applicant(s)." As shown on the enclosed copy of the Application Data Sheet filed with the application on March 28, 2005, Willem P.C. Stemmer is the only inventor of the above-identified application. A marked-up copy of the Official Filing Receipt showing the correction in red ink is enclosed.

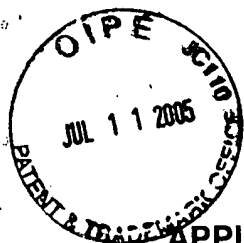
Applicants respectfully request that a corrected filing receipt be issued.

This error is not due to any fault on the part of Applicants. It is believed that no fee is due. However, in the event that a fee is due, please charge Deposit Account No. 50-0990.

Respectfully submitted,

By:   
Margaret A. Powers  
Reg. No. 39,804

July 7, 2005  
MAXYGEN, INC.  
Intellectual Property Department  
515 Galveston Drive  
Redwood City, California 94063  
Telephone: (650) 298-5809  
Facsimile: (650) 298-5446  
Customer No. 30560



**APPLICATION DATA SHEET**

**COPY**

**Application Information**

Application Type:: Utility  
Subject Matter::  
CD-ROM or CD-R?:: None  
Sequence submission?:: Yes  
Title:: Methods for Generating Polynucleotides Having Desired  
Characteristics by Iterative Selection and Recombination  
Attorney Docket Number:: 0100.458US  
Request for Early Publication?:: No  
Request for Non-Publication?:: No  
Total Drawing Sheets:: 37  
Small Entity:: No  
Petition included?:: No  
Secrecy Order in Parent Appl.?:: No

**Applicant Information**

Applicant Authority Type:: Inventor  
Primary Citizenship Country:: Netherlands  
Given Name:: Willem  
Middle Name:: P.C.  
Family Name:: Stemmer  
City of Residence:: Los Gatos  
State or Providence of  
Residence:: California  
Country of Residence:: United States of America  
Street of mailing address:: 108 Kathy Court  
City of mailing address:: Los Gatos

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Country of mailing address:: United States of America  
Postal or Zip Code of mailing  
address:: 95030

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Name:: Maxygen Inc. – Intellectual Property Department  
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City of mailing address:: Redwood City  
State or Providence of mailing  
address:: California  
Country of mailing address:: United States of America  
Postal or Zip Code of mailing  
address:: 94063  
Phone number:: (650) 298-5300  
Fax number:: (650) 298-5446

**Representative Information**

Representative Customer Number::	30560
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**Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation of	10/246,229	09/17/2002
10/246,229	Continuation of	09/717,391	11/18/2000
09/717,391	Continuation of	09/239,395	01/28/1999
09/239,395	Continuation of	08/621,859	03/25/1996
08/621,859	Continuation-in-part of	08/564,955	11/30/1995
08/564,955	Continuation-in-part of	PCT/US95/02126 (US Nat'l Phase 08/537,874, filed 05/04/1996)	02/17/1995 (05/04/1996)
PCT/US95/02126 (US Nat'l Phase 08/537,874, filed 05/04/1996)	Continuation-in-part of	08/198,431	02/17/1994

**Assignee Information**

Assignee Name:: Maxygen, Inc.  
 Street of mailing address:: 515 Galveston Drive  
 City of mailing address:: Redwood City  
 State or Province of mailing address:: California  
 Country of mailing address:: US  
 Postal or Zip Code of mailing address:: 94063